

## **DVA FACSIMILE COMMUNICATION**

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To: **Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: August 18, 2004

Number of Pages: Cover + 15

**FAX NO.** (703) 872-9306

Attn: Examiner Kimlien T. Le

From: Caroline Do, Esq., Reg. No. 47,529

PLEASE CONTACT US IF ANY PAGES  
ARE ILLEGIBLE OR ARE NOT RECEIVED

Re: U.S. Pat. Appln. Ser. No. 09/495,552  
Filed: February 1, 2000  
Title: Near-Field Optical Head System with  
Integrated Slider and Laser  
Confirmation No.: 5007  
Art Unit: 2653  
Inventor: Robert L. THORNTON, et al.  
Our Ref. No.: ST 2623.01 US

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**AUG 18 2004**

**ATTN: Examiner Kimlien Le, Art Unit 2653**

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Document Control No. 6ZWZNU

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450CUSTOMER NUMBER  
**22887**  
PATENT TRADEMARK OFFICERe: U.S. Application No.: 09/495,552  
Confirmation No.: 5007  
Filed: February 1, 2000  
Entitled: Near-Field Optical Head System with Integrated Slider and Laser  
Inventor: Robert L. THORNTON, et al.  
Our File No.: ST 2623.01 US


Dear Sir:

Enclosed for filing in the above-referenced patent are the following documents:

1. Transmittal Form (1 page);
2. Fee Transmittal (1 page);
3. Amendment and Response to Office Action (12 pages);
4. Cover Letter, and
5. Certificate of Facsimile dated August 18, 2004.

Please acknowledge receipt of this transmittal.


Very truly yours,

  
DISCOVISION ASSOCIATES  
Caroline Do, Esq.  
Senior Patent Prosecution Attorney  
INTELLECTUAL PROPERTY DEVELOPMENT

CD:lf

Enclosures

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CERTIFICATE OF FACSIMILE TRANSMISSION	
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office Fax No. (703) 872-9306 on:	
<u>August 18, 2004</u> (Date)	<u>Lori Fukuhara</u> (Type or print name)
 (Signature)	

PTO/GB17 (10-03)

Approved for use through 07/31/2006. OMB 0851-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR §1.27**TOTAL AMOUNT OF PAYMENT (\$)** 18.00**Complete if Known**

Application Number	09/495,552
Filing Date	February 1, 2000
First Named Inventor	Robert L. THORNTON
Examiner Name	Kimlien T. Le
Art Unit	2653
Attorney Docket No.	ST 2623.01 US

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account

Deposit Account Number **04-1175**  
 Deposit Account Name

The Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☒ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

**SUB TOTAL (1) (\$)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims **21** -20\*\* = **1** x **18.00** = **18.00**  
 Independent Claims **0** -3\*\* = **0** x **0** = **0**  
 Multiple Dependent **0** = **0**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1202	18	2202	9	Claim in excess of 20	
1201	66	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	66	2204	43	** Reissue independent claims over original patent	
1206	18	2206	9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$)** 18.00

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	60	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1256	2,010	2256	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	280	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Stmt.	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 0.00**SUBMITTED BY**Name Printed/Typed **Caroline Do, Esq.**Registration No. **47,529**

Attorney / Agent

Signature **Complete (if applicable)**Telephone **(949)660-5000**Date **August 18, 2004**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents: P.O. Box 1450, Alexandria, VA 22313-1450.

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